

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
23 October 2003 (23.10.2003)

PCT

(10) International Publication Number  
**WO 03/087083 A1**

(51) International Patent Classification<sup>7</sup>: **C07D 311/36**,  
A61K 31/35, A61P 21/06

(21) International Application Number: **PCT/US03/11424**

(22) International Filing Date: **11 April 2003 (11.04.2003)**

(25) Filing Language: **English**

(26) Publication Language: **English**

(30) Priority Data:  
10/123,068 **12 April 2002 (12.04.2002)** **US**

(71) Applicant: **BIOTEST LABORATORIES, LLC**  
[US/US]; P.O. Box 60310, 1850 Reliable Circle, Col-  
orado Springs, CO 80960 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,  
CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,  
GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,  
LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,  
MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE,  
SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC,  
VN, YU, ZA, ZM, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),  
Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),  
European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,  
ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO,  
SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM,  
GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(72) Inventor: **ROBERTS, William, J.**; 12391 S.E. 138 Av-  
enue, Oklawaha, FL 32179 (US).

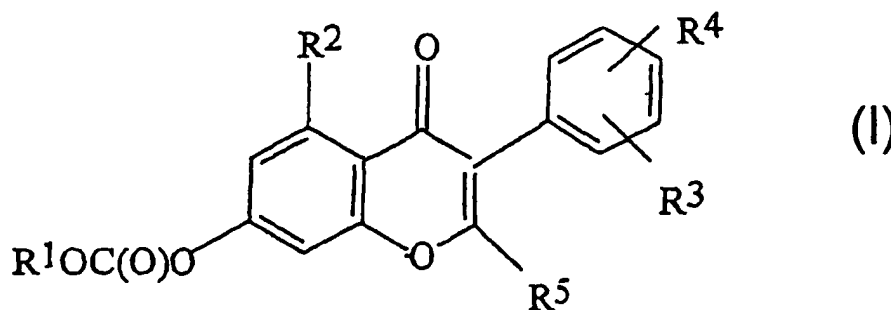
Published:

— with international search report

(74) Agents: **SULLIVAN, Stephen, T. et al.**; Sullivan Law  
Group, Suite 1140, 1850 North Central Avenue, Phoenix,  
AZ 85004-4586 (US).

For two-letter codes and other abbreviations, refer to the "Guid-  
ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.

(54) Title: **5-ALKYL-7-ALKYLCARBONATE-ISOFLAVONE ESTER AS ISOFLAVONE PRODRUG**



(57) Abstract: A compound is provided for increasing the concentration of a parent isoflavone in a subject in vivo. The parent isoflavone has a skeletal structure including a 5 position and a 7 position, a 5 alkyl group, and a 7-hydroxy group with a 7-hydroxy oxygen appended to the 7 position and a 7-hydroxy hydrogen appended to the 7-hydroxy oxygen. The compound includes a substrate having the skeletal structure of the parent isoflavone, with a 5 position and a 7 position corresponding to the 5 and 7 positions respectively of the parent isoflavone. An alkyl group is appended to the 5 position. A promoiety is appended to the 7-hydroxy oxygen of the substrate as a substitute for the 7-hydroxy hydrogen of the parent isoflavone, the promoiety and the 7-hydroxy oxygen establishing an alkylcarbonate ester including an alkyl group having at least three carbon atoms. Wherein R<sup>1</sup> consists of a member selected from the group consisting of a straight-chain, branched, and cyclic alkyl comprising at least three carbon atoms; R<sup>2</sup> consists of a member selected from the group consisting of a straight-chain, branched, and cyclic alkyl; R<sup>3</sup> and R<sup>4</sup> are the same or different, and selected from the group consisting of hydrogen, alkyl, hydroxy, and alkoxy; and R<sup>5</sup> consists of a member selected from the group consisting of hydrogen and an alkyl.

**TITLE:** 5-ALKYL-7-ALKYLCARBONATE-ISOFILAVONE ESTER AS ISOFILAVONE PRODRUG

## **BACKGROUND OF THE INVENTION**

5

### **Field of the Invention**

[0001] The present invention pertains to the field of biochemistry and more specifically to the field of prodrugs of metabolic agents and related methods.

10 **Description of the Related Art**

[0002] The use of isoflavones as metabolic agents is described in U.S. Patent No. 4,163,746 to Feuer, assigned to Chinoin Gyogyszer es Vegyeszeti Termekek Gyara Rt. of Hungary ("the Feuer '746 patent"). The Feuer '746 patent identifies a class of isoflavones characterized as possessing a methyl  
15 group at the 5-carbon position and a hydroxyl or ether group at the 7 carbon position. The Feuer '746 patent lists 5-methyl-7-methoxy-isoflavone, 5-methyl-7-ethoxy-isoflavone, 5-methyl-7-(2-hydroxy-ethoxy)-isoflavone, and 5-methyl-7-isopropoxy-isoflavone as preferred isoflavones.

[0003] The Feuer '746 patent purports that its class of isoflavones  
20 were shown to have utility in promoting anabolic activity and increasing calcium, phosphorous, potassium, and nitrogen retention to a significant degree. The list of preferred isoflavones mentioned above also is purported to produce a significant weight gain increase in domestic animals, with the weight surplus consisting of meat, rather than fat. According to the Feuer  
25 '746 patent, perhaps the most significant advantage of its class of isoflavones over conventional anabolic agents was that its isoflavones did not produce androgenic or liver damaging side effects. Due to its anabolic properties, the isoflavones of the Feuer '746 patent are described as having utility in the treatment of diseases, and particular utility in the treatment of osteoporosis  
30 of gerontological and immobilization origin.

[0004] Without necessarily wishing to be bound by any theory, it is believed that the isoflavones described in the Feurer '746 patent, such as 5-methyl-7-methoxy-isoflavone, are prodrugs, that is, a compound that itself has no anabolic activity but, when administered in the body, is metabolized or converted into a natural or desired form, 7-hydroxy-5-methyl-isoflavone, which promotes anabolic activity. Thus, such prodrugs, i.e., 5-methyl-7-methoxy-isoflavone, become substrates for in vivo bioconversion into the desired parent compounds. (Incidentally, if 7-hydroxy-5-methyl-isoflavone were administered directly, i.e., not in a prodrug state, the liver would likely metabolize substantially all of the 7-hydroxy-5-methyl-isoflavone during the first pass.)

[0005] Based on findings of the Feurer '746 patent and other reported research, the compound 5-methyl-7-methoxy-isoflavone is commercially sold as a nutritional supplement and advertised as an anabolic agent for promoting muscle mass gains and body fat composition losses, while not causing adverse side effects associated with the use of steroids.

[0006] However, the effectiveness of the prodrug 5-methyl-7-methoxy isoflavone has been limited due to difficulties that the human body encounters in converting the prodrug to its parent isoflavone in vivo. In some instances, its conversion into the desired parent compound, 7-hydroxy-5-methyl-isoflavone, is limited, for example, because it is removed from the system through the "first pass effect," wherein the compound is metabolized by the liver prior to reaching general circulation. A large proportion of the prodrug also either does not undergo conversion or converts into undesirable products. It is estimated that approximately 50% or less of the prodrug 5-methyl-7-methoxy isoflavone administered to a human is converted in vivo to 7-hydroxy-5-methyl-isoflavone. Even where the desired bioconversion occurs, the rate of conversion can be sufficiently low that undesirably large

quantities of the prodrug must be taken to achieve desired effects. This itself can have undesirable side effects.

[0007] It is advantageous in many instances to have a prodrug that may be administered in a convenient form, such as by oral, or sublingual administration. Many prodrugs have not been amenable to such  
5 administration, however, because they tend to be broken down prior to absorption in vivo when administered in this fashion.

#### Objects of the Invention

[0008] Accordingly, an object of the present invention is to provide  
10 compositions and methods that can be used to increase the in vivo concentration and bioavailability of the parent compound, 5-alkyl-7-hydroxy-isoflavone.

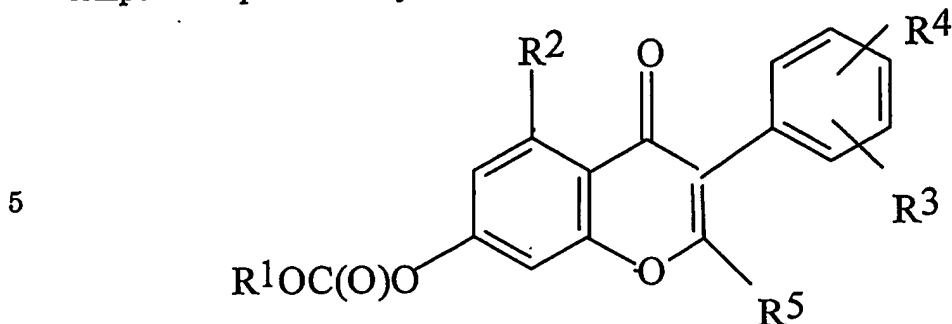
[0009] Another object of the invention according to certain aspects is to provide compounds and methods that can be used to increase the in vivo  
15 concentration and bioavailability of a parent 5-alkyl-7-hydroxy-isoflavone while being amenable to convenient administration, such as by oral, or sublingual administration.

[0010] Additional objects and advantages of the invention will be set forth in the description that follows, and in part will be apparent from the  
20 description, or may be learned by practice of the invention. The objects and advantages of the invention may be realized and obtained by means of the instrumentalities and combinations pointed out in the appended claims.

#### SUMMARY OF THE INVENTION

[0011] To achieve the foregoing objects, and in accordance with the  
25 purposes of the invention as embodied and broadly described in this document, a compound comprising an alkylcarbonate ester is provided. The

compound represented by the formula I

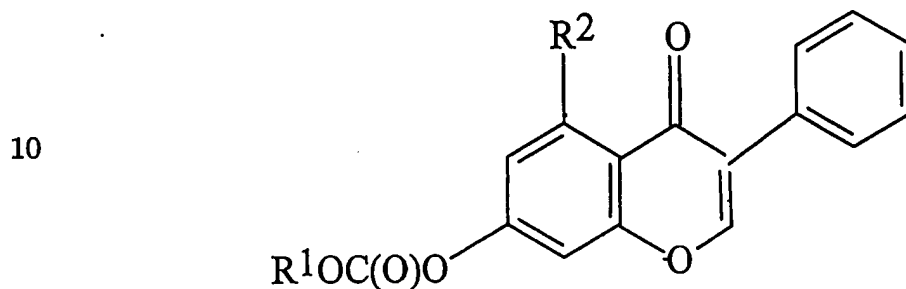


wherein R<sup>1</sup> consists of a member selected from the group consisting of a  
 10 straight-chain, branched, and cyclic alkyl comprising at least three carbon  
 atoms; R<sup>2</sup> consists of a member selected from the group consisting of a  
 straight-chain, branched, and cyclic alkyl; R<sup>3</sup> and R<sup>4</sup> are the same or  
 different, and selected from the group consisting of hydrogen, alkyl, hydroxy,  
 and alkyoxy; and R<sup>5</sup> consists of a member selected from the group consisting  
 15 of hydrogen and an alkyl.

[0012] The alkylcarbonate ester optionally but preferably has an  
 alkyl chain length of about 22 or fewer carbon atoms. In some uses, it  
 preferably has about 12 or fewer carbon atoms. In presently preferred  
 embodiments, the alkylcarbonate ester has about 4 to 22 carbon atoms, more  
 20 preferably 14 to 22 carbon atoms, and still more preferably 16 to 22 carbon  
 atoms. The alkylcarbonate ester may consists of a member selected from the  
 group consisting of propyl carbonate, isopropyl carbonate, butyl carbonate,  
 isobutyl carbonate, t-butyl carbonate, valeryl carbonate, hexyl carbonate,  
 heptyl carbonate, octyl carbonate, nonyl carbonate, decyl carbonate, undecyl  
 25 carbonate, dodecyl carbonate, cyclopentyl carbonate, cyclopentylmethyl  
 carbonate, cyclopentylpropyl carbonate, cyclohexylmethyl carbonate,  
 cyclohexylpropyl carbonate, dodecyl carbonate, tetradecyl carbonate,  
 hexadecyl (i.e., palmityl) carbonate, octadecyl (i.e., stearyl) carbonate, eicosyl  
 carbonate, docosyl carbonate, and mixtures thereof. It also would be

acceptable in such compounds to have unsaturation, for example and preferably, cis-9, cis-9,12, or cis-9, 12, 15. The compound itself according to this aspect of the invention may assume a number of specific forms. It also may take the form of mixtures or combinations of compounds.

5        [0013] In accordance with a preferred variation of the first aspect of the invention, the compound has the following formula II



wherein R<sup>1</sup> consists of a member selected from the group consisting of a straight-chain, branched, and cyclic alkyl comprising at least three carbon atoms; and R<sup>2</sup> consists of a member selected from the group consisting of a straight-chain, branched, and cyclic alkyl.

15        [0014] In accordance with a second aspect of the invention, a compound is provided for increasing the concentration of a parent isoflavone in a subject in vivo. The parent isoflavone has a skeletal structure including a 5 position and a 7 position and the parent isoflavone further has a 5 alkyl group, and a 7-hydroxy group comprising a 7-hydroxy oxygen appended to the 7 position and a 7-hydroxy hydrogen appended to the 7-hydroxy oxygen.

20        [0015] The compound of the second aspect of the invention comprises a substrate having the skeletal structure of the parent isoflavone. The substrate comprises a 5 position and a 7 position corresponding to the 5 and 7 positions respectively of the parent isoflavone. A straight-chain, branched, or cyclic alkyl group is appended to the 5 position. A promoiety is appended to the 7-hydroxy oxygen of the substrate as a substitute for the 7-hydroxy

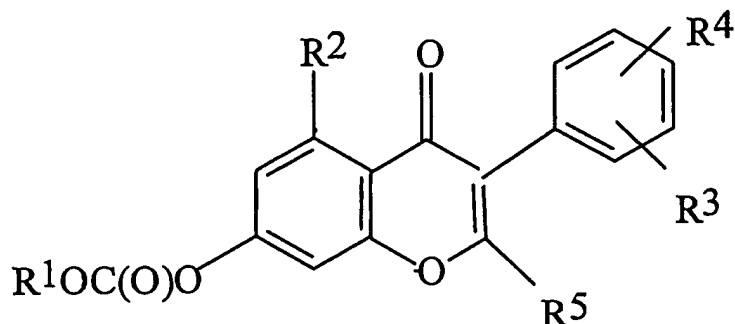
hydrogen of the parent isoflavone, the promoiety and the 7-hydroxy oxygen establishing an alkylcarbonate ester comprising an alkyl group comprising at least three carbon atoms.

[0016] The substrate has the skeletal structure of the parent isoflavone, which is preferably 7-hydroxy-5-alkyl-isoflavone, and more preferably 7-hydroxy-5-methyl-isoflavone.

[0017] The alkylcarbonate ester may be as described above. For example, the alkyl groups of the alkylcarbonate ester optionally but preferably has 4 to 22 carbon atoms, more preferably 14 to 22 carbon atoms, and still more preferably 16 to 22 carbon atoms. The alkylcarbonate may, for example, be selected from the group consisting of propyl carbonate, isopropyl carbonate, butyl carbonate, isobutyl carbonate, t-butyl carbonate, valeryl carbonate, hexyl carbonate, heptyl carbonate, octyl carbonate, nonyl carbonate, decyl carbonate, undecyl carbonate, dodecyl carbonate, cyclopentylmethyl carbonate, cyclopentyl carbonate, cyclopentylpropyl carbonate, cyclohexylmethyl carbonate, cyclohexylpropyl carbonate, dodecyl carbonate, tetradecyl carbonate, hexadecyl (i.e., palmityl) carbonate, octadecyl (i.e., stearyl) carbonate, eicosyl carbonate, docosyl carbonate, and mixtures thereof. The compound itself according to this aspect of the invention may assume a number of specific forms. It also may take the form of mixtures or combinations of compounds.

[0018] In accordance with a third aspect of the invention, a method is provided for increasing the concentration of a parent isoflavone in a subject in vivo, the parent isoflavone having a skeletal structure including a 5 position and a 7 position and the parent isoflavone further having a 5 alkyl group, and a 7-hydroxy group comprising a 7-hydroxy oxygen appended to the 7 position and a 7-hydroxy hydrogen appended to the 7-hydroxy oxygen.

[0019] The method according to this third aspect of the invention comprises administering to the subject a compound comprising a substrate and a promoiety. The compound has the general formula I



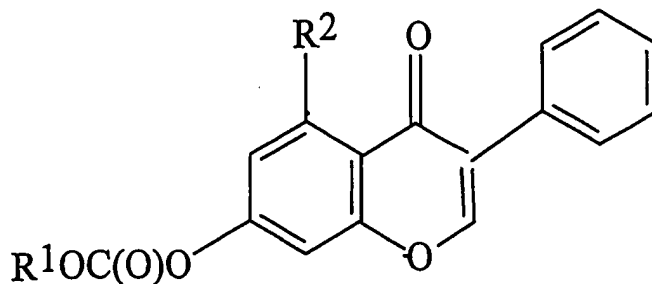
wherein R<sup>1</sup> consists of a member selected from the group consisting of a straight-chain, branched, and cyclic alkyl comprising at least three carbon atoms; R<sup>2</sup> consists of a member selected from the group consisting of a straight-chain, branched, and cyclic alkyl; R<sup>3</sup> and R<sup>4</sup> are the same or different, and selected from the group consisting of hydrogen, alkyl, hydroxy, and alkoxy; and R<sup>5</sup> consists of a member selected from the group consisting of hydrogen and an alkyl.

15

[0020] The method of this third aspect of the invention further comprises converting the compound in vivo into the parent isoflavone. The subject may be a human being, in which case the in vivo conversion comprises converting the compound into the parent isoflavone in vivo within the human being.

20

[0021] In accordance with a preferred variation of the third aspect of the invention, the compound has the following formula II





wherein R<sup>1</sup> consists of a member selected from the group consisting of a straight-chain, branched, and cyclic alkyl comprising at least three carbon atoms; and R<sup>2</sup> consists of a member selected from the group consisting of a straight-chain, branched, and cyclic alkyl.

5           [0022] In accordance with a fourth aspect of the invention, a method is provided for increasing the concentration of a parent isoflavone in a subject in vivo, the parent isoflavone having a skeletal structure including a 5 position and a 7 position and the parent isoflavone further having a 5 alkyl group, and a 7-hydroxy group comprising a 7-hydroxy oxygen appended to the  
10 7 position and a 7-hydroxy hydrogen appended to the 7-hydroxy oxygen.

          [0023] According to this fourth aspect of the invention, a subject is administered a compound comprising a substrate and a promoiety. The substrate has the skeletal structure of the parent isoflavone comprising a 5 position, and a 7 position corresponding to the 5 and 7 positions respectively  
15 of the parent isoflavone. The 5 position has an alkyl substituent appended thereto. The promoiety is appended to the 7-hydroxy oxygen of the substrate as a substitute for the 7-hydroxy hydrogen of the parent isoflavone. The promoiety and the 7-hydroxy oxygen establish an alkylcarbonate ester comprising an alkyl group comprising at least three carbon atoms. The  
20 compound is converted in vivo into the parent isoflavone.

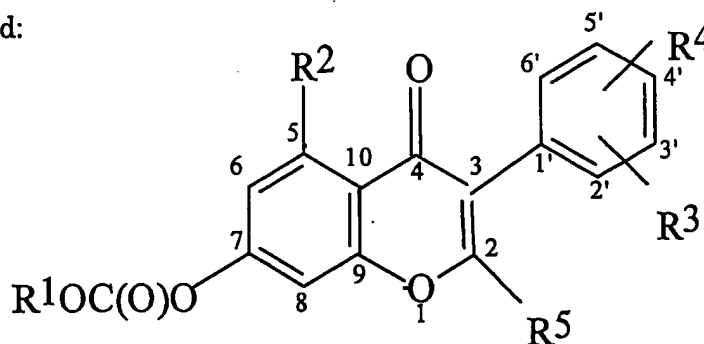
          [0024] The alkylcarbonate ester of the third and fourth aspects of the invention may be as described above. In accordance with the third and fourth aspects of the invention, the compound administration may comprise peroral administration, transdermal administration, sublingual administration, and  
25 other means. Peroral administration is presently preferred.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS AND METHODS

[0025] Reference will now be made in detail to the presently preferred embodiments and methods of the invention. It should be noted, however, that the invention in its broader aspects is not limited to the specific details, representative compositions and methods, and illustrative examples described in this section in connection with the preferred embodiments and methods. The invention according to its various aspects is particularly pointed out and distinctly claimed in the attached claims read in view of this specification, and appropriate equivalents.

[0026] It is to be noted that, as used in the specification and the appended claims, the singular forms "a," "an," and "the" include plural referents unless the context clearly dictates otherwise.

[0027] In accordance with one aspect of the invention, a compound comprising an alkylcarbonate ester, represented by the general formula (I) below is provided:



[0028] This numbering above on formula (I) for the ring identification and carbon numbering system are well known in the field of chemistry. In a preferred embodiment of the invention,  $R^2$  is a short-chain alkyl, preferably methyl. In an especially preferred embodiment of the invention,  $R^2$  is methyl and  $R^3$ ,  $R^4$ , and  $R^5$  are hydrogen. Generally,  $R^3$ ,  $R^4$  and  $R^5$  can append off of any of C2' to C6'. Further,  $R^3$  and  $R^4$  may be the

same or different, and can be selected from the group consisting of hydrogen, alkyl, hydroxy, and alkoxy.

[0029] The compound preferably but optionally is for treatment of a human being to supplement or increase the concentration of the preferred parent isoflavone, *i.e.*, 7-hydroxy-5-alkyl-isoflavone, *in vivo*. The compound is most preferably designed for use as a muscle mass enhancement agent, although other uses, such as for bone enhancement and treatment of osteoporosis, may be possible. This is not necessarily limiting, however, and veterinary applications also are possible in certain instances.

[0030] In accordance with an especially preferred embodiment of the invention, the compound comprises a substrate and a promoiety, with the substrate having the skeletal structure of the parent isoflavone, which is preferably 7-hydroxy-5-methyl isoflavone.

[0031] The promoiety is appended to the 7-hydroxy oxygen of the substrate as a substitute for the 7-hydroxy hydrogen. It comprises and preferably consists of an alkylcarbonate ester. The alkyl group may be linear, branched, cyclical, etc. The promoiety preferably but optionally has an alkyl chain length (counting only the carbon atoms) of 4 to 22, more preferably 14 to 22, still more preferably 16 to 22. The alkylcarbonate ester may be selected from the group consisting of propyl carbonate, isopropyl carbonate, butyl carbonate, isobutyl carbonate, t-butyl carbonate, valeryl carbonate, hexyl carbonate, heptyl carbonate, octyl carbonate, nonyl carbonate, decyl carbonate, undecyl carbonate, dodecyl carbonate, cyclopentyl methyl carbonate, cyclopentylpropyl carbonate, cyclohexyl methyl carbonate, cyclohexylpropyl carbonate, dodecyl carbonate, tetradecyl carbonate, hexadecyl (*i.e.*, palmityl) carbonate, octadecyl (*i.e.*, stearyl) carbonate, eicosyl carbonate, docosyl carbonate, and mixtures thereof. It also would be acceptable in such compounds to have unsaturation, for example and

preferably, cis-9, cis-9,12, or cis-9, 12, 15. Other alkyl carbonate esters, however, may be used.

[0032] The compound according to this aspect of the invention in its presently preferred embodiments may comprise 5-methyl-7-stearylcarbonate-  
5 isoflavone ester and 5-methyl-7-palmitylcarbonate-isoflavone ester.

[0033] The method according to this aspect of the invention comprises administering to the subject a compound comprising a substrate and a promoiety. The substrate has the skeletal structure of the parent isoflavone comprising a 5 position and a 7 position corresponding to the 5 and  
10 7 positions respectively of the parent isoflavone. The promoiety is appended to the 7 position of the substrate as a substitute for the 7-hydroxy hydrogen of the parent isoflavone, and comprises an alkylcarbonate ester comprising an alkyl group with at least three carbon atoms. The method further comprises converting the compound in vivo into the parent isoflavone. The subject  
15 again preferably but optionally is a human being, and the in vivo conversion thus correspondingly comprises converting the compound into the parent isoflavone in vivo within the human being.

[0034] In each of the aforementioned methods, the compound administration may comprise peroral administration, transdermal  
20 administration, sublingual administration, and other means. The administration of the compound also may be by combinations of these techniques or approaches. Peroral administration is presently preferred.

[0035] The compound may be contained or encapsulated by an enteric coating. In some forms, particularly those intended for peroral  
25 administration, it is preferable albeit optional for the compound to include a carrier. The carrier may be a solid, a liquid, a semi-solid, liquid or other suitable form. A preferred liquid carrier is an aqueous emulsion including purified water, glycerin, polysorbate, lecithin, natural flavor blend, methylparaben, propylparaben, sodium benzoate, EDTA, and vegetable gum.

Another preferred aqueous emulsion comprises fatty acid ethyl esters, polysorbate 60, lecithin, and cholesterol or an oil. Still another preferred liquid carrier comprises water, glycerin, polysorbate, lecithin, sodium benzoate, ethylene diamine tetraacetic acid ("EDTA"), potassium sorbate, grapefruit seed extract, and vegetable gum. These liquid carriers are particularly applicable if administered sublingually.

[0036] When administered orally or sublingually, the compound enters the gastrointestinal ("GI") tract, and ultimately the blood stream. Through more direct methods such as through transdermal, the compound enters directly into the blood stream. In each of the instances, the compound may react to form the parent isoflavone or a prodrug of the parent isoflavone.

[0037] One limitation of known prodrugs is that, once they are transformed into the parent drug, they are broken down in the body, and particularly in the liver. This breakdown reduces in vivo concentration and bioavailability of the drug. This breakdown effect has been observed with 5-methyl-7-methoxy-isoflavone. In the presently preferred embodiments of the invention, however, the compounds are less prone to such breakdown in the body relative to many known prodrug-type compounds. This in many instances is attributable to the alkylcarbonate ester moiety, which makes the compound more resistant to hydrolysis and other reactions that inhibit or destroy them in the body. In vivo concentrations thus can be maintained more readily, and bioavailability of the parent isoflavone can be improved.

[0038] The compound preferably is administered in amounts effective to supplement or increase the concentration of the parent isoflavone in vivo. According to a related aspect of the method, the compound may be administered using a dosage given periodically for a maximum of four weeks, followed by a period, for example, of at least two weeks, of non-administration. This can permit the compound to supplement or increase the

concentration of the parent isoflavone in vivo for an effective period, and then terminate further dosages of the compound as its effectiveness attenuates.

[0039] In accordance with presently preferred versions of the inventive method, the compound administration, particularly when applied to humans, comprises administering the compound in an amount ranging from 1.0 mg to 1 gram per day, more preferably in an amount ranging from 50 mg to 500 mg twice per day, and even more preferably in an amount ranging from 300 mg to 400 mg twice per day, most preferably 375 mg twice per day. Preferably, two daily servings are taken 8 to 10 hours apart with food.

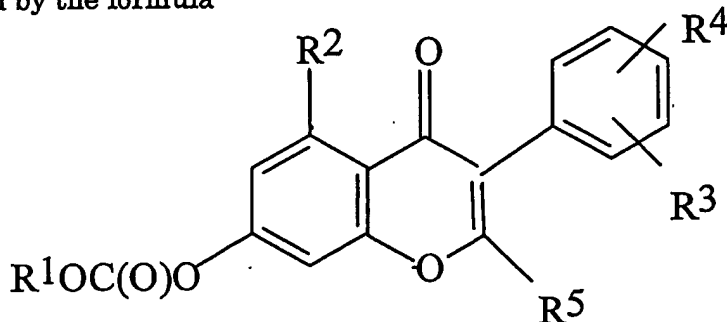
[0040] The 5-alkyl-7-alkylcarbonate-isoflavone may be prepared in accordance with known procedures used to synthesize alkylcarbonate esters. By way of example, 7-hydroxy-5-methyl-isoflavone may be reacted in pyridine with 1 to 1.5 equivalent of alkyl chloroformate added dropwise to prepare 5-methyl-7-alkylcarbonate-isoflavone. Care should be taken to avoid excessively rapid generation of heat from the resulting exothermic reaction. Suitable solvents in which the reaction may be carried out include pyridine, which may be present in an amount of, for example, 70-140 ml per 1.0 gram of 7-hydroxy-5-methyl-isoflavone, with the reaction proceeding over a 24-hour period with stirring. The solution may then be filtered, washed (for example, in a separatory funnel with acidic water, once with neutral water), then dried over sodium sulfate.

[0041] The preparation of 7-hydroxy-5-alkyl-isoflavones and 7-alkoxy-5-alkyl-isoflavones is known in the art. Several preparatory processes that may be used are disclosed in the Feuer '746 patent.

[0042] Additional advantages and modifications will readily occur to those skilled in the art. Therefore, the invention in its broader aspects is not limited to the specific details, representative devices and methods, and illustrative examples shown and described.

## WHAT IS CLAIMED IS:

1. A compound comprising an alkylcarbonate ester, the compound represented by the formula



10 wherein

R<sup>1</sup> consists of a member selected from the group consisting of a straight-chain, branched, and cyclic alkyl comprising at least three carbon atoms;

15 R<sup>2</sup> consists of a member selected from the group consisting of a straight-chain, branched, and cyclic alkyl;

R<sup>3</sup> and R<sup>4</sup> are the same or different, and selected from the group consisting of hydrogen, alkyl, hydroxy, and alkoxy; and

R<sup>5</sup> consists of a member selected from the group consisting of hydrogen and an alkyl.

20 2. A compound as set forth in claim 1, wherein R<sup>1</sup> has 4 to 22 carbon atoms.

3. A compound as set forth in claim 2, wherein the alkylcarbonate ester consists of a member selected from the group consisting of butyl carbonate, isobutyl carbonate, t-butyl carbonate, valeryl carbonate, hexyl carbonate, heptyl carbonate, octyl carbonate, nonyl carbonate, decyl carbonate, undecyl carbonate, dodecyl carbonate, cyclopentyl methyl carbonate, cyclopentylpropyl carbonate, cyclohexyl methyl carbonate, and cyclohexylpropyl carbonate.

25

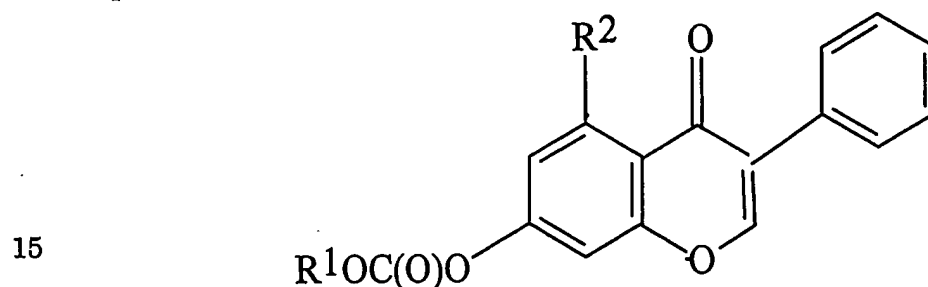
4. A compound as set forth in claim 1, wherein R<sup>1</sup> has 14 to 22 carbon atoms.

5. A compound as set forth in claim 1, wherein R<sup>1</sup> has 16 to 22 carbon atoms.

5 6. A compound as set forth in claim 1, wherein R<sup>1</sup> comprises a stearyl group.

7. A compound as set forth in claim 1, wherein R<sup>2</sup> consists of methyl.

8. A compound comprising an alkylcarbonate ester, the compound  
10 represented by the formula



wherein

R<sup>1</sup> consists of a member selected from the group consisting of a straight-chain, branched, and cyclic alkyl comprising at least three carbon  
20 atoms; and

R<sup>2</sup> consists of a member selected from the group consisting of a straight-chain, branched, and cyclic alkyl.

9. A compound as set forth in claim 8, wherein R<sup>1</sup> has 4 to 22 carbon atoms.

25 10. A compound as set forth in claim 9, wherein the alkylcarbonate ester consists of a member selected from the group consisting of butyl carbonate, isobutyl carbonate, t-butyl carbonate, valeryl carbonate, hexyl carbonate, heptyl carbonate, octyl carbonate, nonyl carbonate, decyl carbonate, undecyl carbonate, dodecyl carbonate, cyclopentyl methyl



carbonate, cyclopentylpropyl carbonate, cyclohexyl methyl carbonate, and cyclohexylpropyl carbonate.

11. A compound as set forth in claim 8, wherein R<sup>1</sup> has 14 to 22 carbon atoms.

5 12. A compound as set forth in claim 8, wherein R<sup>1</sup> has 16 to 22 carbon atoms.

13. A compound as set forth in claim 8, wherein R<sup>1</sup> comprises a stearyl group.

10 14. A compound as set forth in claim 8, wherein R<sup>2</sup> consists of methyl.

15 15. A compound for increasing the concentration of a parent isoflavone in a subject in vivo, the parent isoflavone having a skeletal structure including a 5 position and a 7 position and the parent isoflavone further having a 5 alkyl group and a 7-hydroxy group comprising a 7-hydroxy oxygen appended to the 7 position and a 7-hydroxy hydrogen appended to the 7-hydroxy oxygen, the compound comprising:

a substrate having the skeletal structure of the parent isoflavone, the substrate comprising a 5 position and a 7 position corresponding to the 5 and 7 positions respectively of the parent isoflavone;

20 a substituent selected from the group consisting of a straight-chain, branched, and cyclic alkyl appended to the 5 position; and

a promoiety appended to the 7-hydroxy oxygen of the substrate as a substitute for the 7-hydroxy hydrogen of the parent isoflavone, the promoiety and the 7-hydroxy oxygen establishing an alkylcarbonate ester comprising an alkyl group comprising at least three carbon atoms.

16. A compound as set forth in claim 15, wherein the alkyl group of the alkylcarbonate ester has 4 to 22 carbon atoms.

17. A compound as set forth in claim 15, wherein the alkylcarbonate ester consists of a member selected from the group consisting of propyl

carbonate, isopropyl carbonate, butyl carbonate, isobutyl carbonate, t-butyl carbonate, valeryl carbonate, hexyl carbonate, heptyl carbonate, octyl carbonate, nonyl carbonate, decyl carbonate, undecyl carbonate, dodecyl carbonate, cyclopentyl methyl carbonate, cyclopentylpropyl carbonate, cyclohexyl methyl carbonate, and cyclohexylpropyl carbonate.

18. A compound as set forth in claim 15, wherein the alkyl group of the alkylcarbonate ester has 14 to 22 carbon atoms.

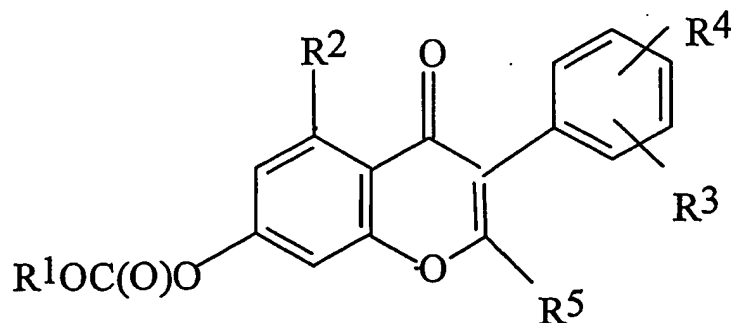
19. A compound as set forth in claim 15, wherein the alkyl group of the alkylcarbonate ester has 14 to 22 carbon atoms.

20. A compound as set forth in claim 15, wherein the substituent appended to the 5 position is methyl.

21. A compound as set forth in claim 15, wherein alkyl group of the alkylcarbonate ester comprises a stearyl group.

22. A method for increasing the concentration of a parent isoflavone in a subject in vivo, the parent isoflavone having a skeletal structure including a 5 position and a 7 position and the parent isoflavone further having a 5 alkyl group and a 7-hydroxy group comprising a 7-hydroxy oxygen appended to the 7 position and a 7-hydroxy hydrogen appended to the 7-hydroxy oxygen, the method comprising:

administering to the subject a compound comprising formula I, and converting the compound in vivo into the parent isoflavone, wherein formula I is represented by



wherein

R<sup>1</sup> consists of a member selected from the group consisting of a straight-chain, branched, and cyclic alkyl comprising at least three carbon atoms;

5 R<sup>2</sup> consists of a member selected from the group consisting of a straight-chain, branched, and cyclic alkyl;

R<sup>3</sup> and R<sup>4</sup> are the same or different, and selected from the group consisting of hydrogen, alkyl, hydroxy, and alkoxy; and

10 R<sup>5</sup> consists of a member selected from the group consisting of hydrogen and an alkyl.

23. A method as set forth in claim 22, wherein R<sup>1</sup> has 4 to 22 carbon atoms.

24. A method as set forth in claim 23, wherein the alkylcarbonate ester consists of a member selected from the group consisting of butyl  
15 carbonate, isobutyl carbonate, t-butyl carbonate, valeryl carbonate, hexyl carbonate, heptyl carbonate, octyl carbonate, nonyl carbonate, decyl carbonate, undecyl carbonate, dodecyl carbonate, cyclopentyl methyl carbonate, cyclopentylpropyl carbonate, cyclohexyl methyl carbonate, and cyclohexylpropyl carbonate.

20 25. A method as set forth in claim 22, wherein R<sup>1</sup> has 14 to 22 carbon atoms.

26. A method as set forth in claim 22, wherein R<sup>1</sup> has 16 to 22 carbon atoms.

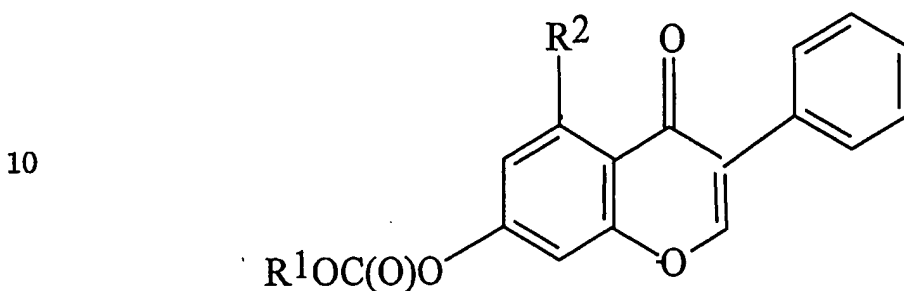
25 27. A method as set forth in claim 22, wherein R<sup>1</sup> comprises a stearyl group.

28. A method as set forth in claim 22, wherein R<sup>2</sup> consists of methyl.

29. A method for increasing the concentration of a parent isoflavone in a subject in vivo, the parent isoflavone having a skeletal structure including a 5 position and a 7 position and the parent isoflavone further

having a 5 alkyl group and a 7-hydroxy group comprising a 7-hydroxy oxygen appended to the 7 position and a 7-hydroxy hydrogen appended to the 7-hydroxy oxygen, the method comprising:

administering to the subject a compound comprising formula I, and  
 5 converting the compound in vivo into the parent isoflavone,  
 wherein formula I is represented by



wherein

15  $R^1$  consists of a member selected from the group consisting of a straight-chain, branched, and cyclic alkyl comprising at least three carbon atoms; and

$R^2$  consists of a member selected from the group consisting of a straight-chain, branched, and cyclic alkyl.

20 30. A method as set forth in claim 29, wherein  $R^1$  has 4 to 22 carbon atoms.

31. A method as set forth in claim 30, wherein the alkylcarbonate ester consists of a member selected from the group consisting of butyl carbonate, isobutyl carbonate, t-butyl carbonate, valeryl carbonate, hexyl  
 25 carbonate, heptyl carbonate, octyl carbonate, nonyl carbonate, decyl carbonate, undecyl carbonate, dodecyl carbonate, cyclopentyl methyl carbonate, cyclopentylpropyl carbonate, cyclohexyl methyl carbonate, and cyclohexylpropyl carbonate.

32. A method as set forth in claim 29, wherein R<sup>1</sup> has 14 to 22 carbon atoms.

33. A method as set forth in claim 29, wherein R<sup>1</sup> has 16 to 22 carbon atoms.

5 34. A method as set forth in claim 29, wherein R<sup>1</sup> comprises a stearyl group.

35. A method as set forth in claim 29, wherein R<sup>2</sup> consists of methyl.

36. A method for increasing the concentration of a parent isoflavone in a subject in vivo, the parent isoflavone having a skeletal structure  
10 including a 5 position and a 7 position and the parent isoflavone further having a 5 alkyl group and a 7-hydroxy group comprising a 7-hydroxy oxygen appended to the 7 position and a 7-hydroxy hydrogen appended to the 7-hydroxy oxygen, the method comprising:

administering to the subject a compound comprising a substrate and a  
15 promoiety, the substrate having the skeletal structure of the parent isoflavone, the substrate comprising a 5 position and a 7 position corresponding to the 5 and 7 positions respectively of the parent isoflavone, the 5 position having an alkyl group appended thereto, the promoiety being appended to the 7-hydroxy oxygen of the substrate as a substitute for the 7-  
20 hydroxy hydrogen of the parent isoflavone, the promoiety and the 7-hydroxy oxygen establishing an alkylcarbonate ester comprising an alkyl group comprising at least 3 carbon atoms; and

converting the compound in vivo into the parent isoflavone.

37. A method as set forth in claim 36, wherein the alkyl group of the  
25 alkylcarbonate ester has 4 to 22 carbon atoms.

38. A method as set forth in claim 36, wherein the alkylcarbonate ester consists of a member selected from the group consisting of propyl carbonate, isopropyl carbonate, butyl carbonate, isobutyl carbonate, t-butyl carbonate, valeryl carbonate, hexyl carbonate, heptyl carbonate, octyl

carbonate, nonyl carbonate, decyl carbonate, undecyl carbonate, dodecyl carbonate, cyclopentyl methyl carbonate, cyclopentylpropyl carbonate, cyclohexyl methyl carbonate, and cyclohexylpropyl carbonate.

39. A method as set forth in claim 36, wherein the alkyl group of the  
5 alkylcarbonate ester has 14 to 22 carbon atoms.

40. A method as set forth in claim 36, wherein the alkyl group of the alkylcarbonate ester has 16 to 22 carbon atoms.

41. A method as set forth in claim 36, wherein the substituent appended to the 5 position is methyl.

10 42. A method as set forth in claim 36, wherein the alkyl group of the alkylcarbonate ester comprises a stearyl group.

15

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 03/11424

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C07D311/36 A61K31/35 A61P21/06

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C07D A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, CHEM ABS Data, WPI Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	FR 1 320 300 A (CASSELLA FARBWERKE MAINKUR AG) 8 March 1963 (1963-03-08) example 1C	1,8
A	US 4 163 746 A (FARKAS LORAND ET AL) 7 August 1979 (1979-08-07) cited in the application claim 1	1,15
A	FR 2 190 411 A (BLAISE ROLLAND) 1 February 1974 (1974-02-01) claim 1	1,15
P,A	WO 02 076404 A (PROTARGA INC) 3 October 2002 (2002-10-03) page 3, line 10 - line 17	1
	--- -/-	



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## \* Special categories of cited documents:

\*A\* document defining the general state of the art which is not considered to be of particular relevance

\*E\* earlier document but published on or after the international filing date

\*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

\*O\* document referring to an oral disclosure, use, exhibition or other means

\*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&amp;\* document member of the same patent family

Date of the actual completion of the international search

20 June 2003

Date of mailing of the international search report

11/07/2003

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Bakboord, J

## INTERNATIONAL SEARCH REPORT

Int'l Application No  
PCT/US 03/11424

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	LAMBERT D M: "Rationale and applications of lipids as prodrug carriers" EUROPEAN JOURNAL OF PHARMACEUTICAL SCIENCES, vol. 11, no. S2, 2000, pages s15-s27, XP002245016 NL the whole document _____	1



# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 03/11424

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
FR 1320300	A	08-03-1963	NONE	
US 4163746	A	07-08-1979	AR 204996 A1	31-03-1976
			AT 340231 B	12-12-1977
			AT 523774 A	15-03-1977
			AT 347456 B	27-12-1978
			AT 695076 A	15-05-1978
			BG 21859 A3	20-09-1976
			CA 1033750 A1	27-06-1978
			CH 614950 A5	28-12-1979
			CS 181861 B1	31-03-1978
			DD 115316 A1	20-09-1975
			DD 122090 A1	12-09-1976
			DE 2432799 A1	30-01-1975
			DK 365374 A	03-03-1975
			ES 427963 A1	16-05-1977
			FR 2236493 A1	07-02-1975
			GB 1434451 A	05-05-1976
			IL 45123 A	30-12-1977
			JP 1311106 C	11-04-1986
			JP 50037781 A	08-04-1975
			JP 60028836 B	06-07-1985
			NL 7408995 A ,B,	13-01-1975
			NO 742470 A ,B,	03-02-1975
			PL 90015 B1	31-12-1976
			RO 70162 A1	06-07-1982
			SE 410264 B	08-10-1979
			SE 7408607 A	10-01-1975
			SE 435509 B	01-10-1984
			SE 7711848 A	20-10-1977
			SU 682099 A3	25-08-1979
			YU 182474 A1	21-01-1983
FR 2190411	A	01-02-1974	FR 2190411 A1	01-02-1974
WO 02076404	A	03-10-2002	WO 02076404 A2	03-10-2002
			US 2002177609 A1	28-11-2002